

**CBOE FUTURES EXCHANGE, LLC
TRADING PRIVILEGE HOLDER APPLICATION**

(FOR CBOE INDIVIDUAL MEMBER SOLE PROPRIETORS)

1. Name: _____ Social Security #: _____

2. Mailing Address: _____

City: _____ State: _____ Zip Code: _____ Phone: _____

3. E-mail address: _____

4. Number of trading permits (each permit is allocated one login to CBOE*direct*) requested: _____

5. As a Sole Proprietor, I intend to use my trading privilege as a:

Proprietary Trader (NFA Registration not required)

Executing Broker

Registered as a Floor Broker: NFA ID # _____

NFA registration is pending

6. Identify the CFE clearing firm issuing the guarantee for your activity on CFE:

Name: _____ OCC #: _____

7. Are you a member of any national securities exchange, national securities association, or commodities exchange? _____

If yes, identify: _____

The undersigned individual hereby agrees on behalf of himself/herself and his/her Related Parties to abide by the rules of the CBOE Futures Exchange, LLC, as they shall be in effect from time to time.

Signature of Applicant: _____ Date: _____