



Membership Department  
 400 S. LaSalle Street  
 Chicago, Illinois 60605  
 (312) 786-7449 - Phone  
 (312) 786-8140 - Fax  
 www.cboe.org

**CBOE FUTURES EXCHANGE, LLC  
 TRADING PRIVILEGE HOLDER APPLICATION  
 (FOR CBOE MEMBER ORGANIZATIONS)**

1. Name: \_\_\_\_\_ Tax ID #: \_\_\_\_\_ - \_\_\_\_\_

2. Mailing Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_ Phone: \_\_\_\_\_

3. Designate at least one employee or agent (Responsible Trader) as your administrator for the use of the CBOE System by the Trading Privilege Holder (including its Authorized Traders).

Name	Phone	E-mail Address

Name	Phone	E-mail Address

4. Number of trading permits (each permit is allocated one login to CBOEdirect) requested: \_\_\_\_\_

5. We intend to use our trading privilege as a:

- Proprietary Trading Firm
- Clearing Firm

- Retail Brokerage Firm
- Executing Brokerage Firm

6. Does your firm have any current or pending registration with the NFA in any of the following registration categories?

	<b>Current Registration</b>	<b>Pending Registration</b>
Futures Commission Merchant	NFA ID# _____	_____
Introducing Broker	NFA ID# _____	_____
Commodity Pool Operator	NFA ID# _____	_____
Commodity Trading Advisor	NFA ID# _____	_____

7. Identify the CFE clearing firm issuing the guarantee for your activity on CFE:

Name: \_\_\_\_\_ OCC #: \_\_\_\_\_

8. Are you a member of any national securities exchange, national securities association, or commodities exchange? \_\_\_\_\_

If yes, identify: \_\_\_\_\_

The organization hereby agrees on behalf of itself and its Related Parties to abide by the rules of the CBOE Futures Exchange, LLC, as they shall be in effect from time to time.

Signature of Authorized Representative: \_\_\_\_\_

Authorized Representative's Name: \_\_\_\_\_

Authorized Representative's Title: \_\_\_\_\_ Date: \_\_\_\_\_