

**Cboe Futures Exchange, LLC (CFE)**  
**Clearing Member Give-Up Authorization and Guarantee**

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\_\_\_\_\_  
Name of CFE Clearing Member

\_\_\_\_\_  
OCC Clearing Number

In accordance with CFE Rule 1101, Clearing Member authorizes the CFE Trading Privilege Holder(s) identified on this form (TPH(s)) to give-up Clearing Member's OCC clearing number on CFE and Clearing Member advises CFE that it guarantees and assumes financial responsibility for all transactions on CFE resulting from orders, bids, offers, and other messages that are transmitted to the Cboe System by the TPH(s) with Clearing Member's OCC clearing number; provided, however, that for give-out transactions, Clearing Member's guarantee hereunder shall be effective only until such time as the relevant give-out transaction is accepted for clearing by another clearing firm. Clearing Member guarantees and assumes financial responsibility for such transactions on CFE until such time as the relevant transaction is accepted for clearing by another clearing firm, even if orders, bids, offers, or other messages transmitted to the Cboe System by the TPH(s) with Clearing Member's OCC clearing number (i) were entered as a result of a failure in applicable security and/or credit controls, (ii) were entered by an unknown or unauthorized user, or (iii) exceeded Clearing Member's credit parameters.

\_\_\_\_\_  
Clearing Member Representative Name

\_\_\_\_\_  
Clearing Member Representative Signature

\_\_\_\_\_  
Clearing Member Representative Title

\_\_\_\_\_  
Date

CFE Trading Privilege Holder(s) Covered by this Form:

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_
4. \_\_\_\_\_
5. \_\_\_\_\_
6. \_\_\_\_\_
7. \_\_\_\_\_
8. \_\_\_\_\_
9. \_\_\_\_\_
10. \_\_\_\_\_