



**CFE Information Circular IC17-063**  
**CFE Regulatory Circular RG17-031**

**Date: December 28, 2017**

**To: CFE Trading Privilege Holders and Market Participants**

**From: CFE Trade Desk**

**RE: CFE Notices**

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Commencing on January 1, 2018, Cboe Futures Exchange, LLC (CFE) will be changing the manner in which CFE disseminates notices to CFE Trading Privilege Holders (TPHs) and market participants. These changes are described below.

**Exchange Notices**

Effective January 1, 2018, CFE will no longer distribute Information Circulars. Instead, CFE will distribute Exchange Notices. Exchange Notices will address items such as Exchange system and functionality changes; products and listings; market data policies, requirements, and fees; fee and rebate changes; trading schedules; network maintenance; and general Exchange administration and information.

Exchange Notices related to CFE are available on the [Exchange Notices Page](#) of the CFE website and are currently divided into four categories. These four categories are System/Status, Fee Schedule, Trade Desk Updates, and Schedule Updates. Each category is accessible from a separate link on the CFE website Exchange Notices Page.

In addition to being posted on the CFE website, the CFE Trade Desk distributes Exchange Notices by e-mail to those TPHs, persons associated with TPHs, and others that are included on Trade Desk distribution lists. The Trade Desk also issues Exchange Notices related to options and equities traded on other Cboe exchanges. Any person that would like to be included on a Trade Desk distribution list may e-mail the Trade Desk at [tradedesk@cboe.com](mailto:tradedesk@cboe.com) or [cfetradedesk@cboe.com](mailto:cfetradedesk@cboe.com) and request to be added to the e-mail distribution list for **options**, **equities**, and/or **futures** related to **Fee Schedule Updates**, **Schedule Updates**, or **Trade Desk Updates** (e.g., platform feature/function updates).

An archive of the information circulars previously issued by CFE will continue to be maintained on the [CFE Information Circulars Page](#) of the CFE website for reference purposes.

**Regulatory Circulars**

CFE will continue to issue CFE Regulatory Circulars. Regulatory Circulars address items such as regulatory obligations of Exchange participants; position limits; and product information and other rule changes not covered in Exchange Notices.



Regulatory Circulars will continue to be posted to the [CFE Regulatory Circulars Page](#) of the CFE website.

Effective on January 1, 2018, CFE will no longer disseminate regulatory circulars by e-mail or through a myCboe e-mail subscription on the CFE website. TPHs and others may receive notice when CFE issues a regulatory circular by subscribing to the RSS feed for CFE Regulatory Circulars on the [RSS Feed Subscription Page](#) of the CFE website.

### **Rule Filings**

CFE rule and product certification filings to the Commodity Futures Trading Commission (CFTC) will continue to be posted to the [CFE Rule Filings Page](#) of the CFE website. Additionally, the current [CFE Rulebook](#) and [CFE Policies and Procedures Section of the Rulebook](#) will also continue to be posted to the CFE website.

Commencing upon the migration of CFE's trading system to Bats technology, CFE plans to no longer disseminate CFE rule and product certification filings by e-mail or through a myCboe e-mail subscription on the CFE website. As previously announced, the planned implementation date for the migration is Sunday, February 25, 2018 for business date Monday, February 26, 2018. Currently and after that date, TPHs and others may receive notice when CFE submits a rule or product certification filing to the CFTC by subscribing to the RSS feed for CFE Rule Filings on the [RSS Feed Subscription Page](#) of the CFE website.

### **Monitoring for Regulatory Circulars and Rule Filings**

TPHs have an obligation to comply with CFE rules. Accordingly, TPHs should monitor the CFE website for CFE regulatory circulars and rule and product certification filings through RSS feeds or otherwise, review those regulatory circulars and rule and product certification filings, and take any actions necessary to comply with CFE regulatory obligations.

### **Additional Information**

Please contact the CFE Trade Desk with any questions regarding Exchange Notices.

Please contact the CFE Regulatory Interpretations and Guidance team at (312) 786-7229 or by e-mail at [AskCFEReg@cboe.com](mailto:AskCFEReg@cboe.com) with any questions regarding CFE regulatory circulars or rule changes.

#### **CFE Trade Desk**

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