

CFE Regulatory Circular RG17-006

Date: June 8, 2017

To: Trading Privilege Holders

From: Registration Services Department

RE: Designation of Account Administrator(s) for Customer Web Portal

In connection with the planned migration of CBOE Futures Exchange, LLC (CFE) to the Bats trading platform on February 25, 2018, beginning on July 3, 2017, CFE TPHs may request access to the Customer Web Portal, which will provide tools for ordering physical and logical connectivity. The Customer Web Portal contains other tools that can be utilized to manage CFE TPH features associated with the Bats production platform.

The CFE concept of a “Responsible Trader” is being retired. Going forward, CFE TPHs will need to designate an initial Account Administrator who will be authorized to grant permissions and user access within the Customer Web Portal.¹

This Regulatory Circular is being issued to advise CFE TPHs that CFE will be emailing all individuals currently identified as Responsible Traders. Each CFE TPH is expected to reply back to the CBOE TPH Registration Department at registration@cboe.com and provide the first and last name, email address and phone number for a designated Account Administrator.²

Please note that the Account Administrators are set at the CFE TPH level and not at the exchange (trading venue) level. This means that if a CFE TPH is a member of any other exchange within the CBOE/Bats family of trading venues, the Account Administrator will have similar authorization to grant permissions and user access for all of the exchanges to which the CFE TPH is a member (and vice versa).

Additional Information:

Please contact CBOE TPH Registration at registration@cboe.com or (312) 786-7449 with any questions.

¹ At a high-level, Account Administrators will be able to grant access to tools within the Customer Web Portal, examples of which may include: Trade Data Downloads, Order Lookup, Historical Market Data Subscription, Latency Statistics, Logical Port Request, Modify, or Delete Forms, Physical Connection Request Form and Invoices and Billing Files.

² More than one Account Administrator may be designated and there is not a maximum number of Account Administrators allowed per CFE TPH.