



When completed, sign and fax this form to 312-786-8140 or e-mail a scanned copy to [cfeauthorizedreporter@cboe.com](mailto:cfeauthorizedreporter@cboe.com)

### Block Trade/ECRP Authorized Reporter Registration and Guarantee Form

**Clearing Member Name:** \_\_\_\_\_

**Clearing Member Number for Clearing CFE Contracts:** \_\_\_\_\_

The undersigned Officer or Responsible Trader of the Clearing Member hereby certifies that the individual(s) and firm(s) listed below ("Authorized Reporters") are authorized by the Clearing Member to report block trade and Exchange of Contract for Related Position ("ECRP") transactions on behalf of the applicable Trading Privilege Holder(s) as specified below. I affirm that the Clearing Member accepts responsibility for trades reported by the Authorized Reporters regardless of the accuracy of such reports.

**Clearing Member Officer or Responsible Trader:** \_\_\_\_\_  
 (Print Name and Title)

\_\_\_\_\_  
 (Signature of Officer or Responsible Trader) \_\_\_\_\_  
 (Date)

**Phone Number:** \_\_\_\_\_

**Email Address:** \_\_\_\_\_

\*\* An Authorized Reporter must be either an individual or firm that is a CFE Trading Privilege Holder ("TPH") or a Related Party of a TPH. If a firm is designated as an Authorized Reporter, the reporting of a block trade or ECRP must be made through a Related Party of the firm. All Authorized Reporter designations, changes, and deletions (including any termination of the guarantee provided for above) must be made solely through the submission of this form.

**Authorized Reporters**

Add, Change, or Delete	Name of Authorized Reporter	Name of TPH Firm for which Authorized Reporter May Report Trades	Phone Number of Authorized Reporter	Email Address of Authorized Reporter