



When completed, please sign, scan and email Form to [fortino@cboe.com](mailto:fortino@cboe.com). CFE will confirm receipt of this Form. If you do not receive a confirmation, you should promptly contact Jennifer Fortino at (312) 786-8151.

### Day Trade Fee Notification Form

<b>CFE Market Participant Name:</b> _____ <b>CFE TPH (Y or N):</b> _____ <b>CBOE TPH (Y or N):</b> _____
<b>Executing Broker Clearing Firm Name(s) and Number(s):</b> _____ _____

On \_\_\_\_\_, 20\_\_\_\_\_, \_\_\_\_\_  
(Date) (CFE Market Participant Name)

hereby notifies CBOE Futures Exchange, LLC of our desire to have the Day Trade fee apply to transactions by or on our behalf. In submitting this form (Form), we are aware that the Day Trade fee is implemented through rebates in an amount prescribed by the CFE Fee Schedule for those transactions during that month that qualify as Day Trades (as defined in the CFE Fee Schedule) and that the ultimate account holder must meet the applicable monthly trading volume threshold (as set forth in the CFE Fee Schedule) in order for the Day Trade fee to apply. We are aware that the application of the Day Trade fee begins at the start of the next trading month following receipt of this Form by CFE and that the application of the Day Trade fee for a new product or account begins at the start of the next trading month following receipt of a new Form listing that product or account. We are also aware that Day Trade fee rebates are made to the CFE Clearing Member that is originally assessed the generally applicable CFE transaction fees for the Day Trade transactions at the time they occur through payment of the rebates to that Clearing Member. We acknowledge that Day Trade fee rebates are made with respect to transactions by or on behalf of an ultimate account holder and that transactions by or on behalf of different ultimate account holders or different accounts of the same ultimate account holder may not be aggregated for purposes of receiving Day Trade fee rebates. We also acknowledge that CFE will utilize the account information provided on this Form to identify the trades attributable to the account(s) which qualify as Day Trades and to calculate the associated Day Trade fee rebates.

We agree to provide any supplemental documentation requested by CFE to substantiate that contract purchases and sales qualify as Day Trades in order for Day Trade fee rebates to apply to those transactions. We further agree to promptly provide notice of any changes to the information provided on this Form (such as a change in account number(s) or Executing Broker Clearing Firm) by promptly submitting to CFE an updated Form. **We acknowledge that failure to provide notice of changes to the information required by this Form through the prompt submission of an updated Form and failure to provide supplemental documentation requested by CFE may result in ineligibility for the applicable rebates.**



Accepted and Agreed to by: \_\_\_\_\_  
(Print Name) Principal of Market Participant (Signature)

Phone Number: ( ) \_\_\_\_\_ Email Address: \_\_\_\_\_

**Identification of CFE Contracts for which Day Trade Fee Rebates Will Be Sought:**

_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

\*Contracts eligible for the Day Trade Fee Program are identified in the CFE Fee Schedule. For Individual Stock Based and Exchange-Traded Fund Based Volatility Index Security Futures, identify the specific index product, e.g., GV security futures. A list of the products that trade on CFE is available at: <http://cfe.cboe.com/Products/>

**CFE Market Participant Sub-Account Number(s) Identified in FIX Tag 440 or in the CMi Interface "Sub-Account" Field and Sub-Account Number(s) Provided to CFE by Trading Privilege Holders with Quoting Capability for Quote Submissions**

_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____