



When completed, please sign, scan and email Form to CFEIncentivegroup@cboe.com. CFE will confirm receipt of this Form. If you do not receive a confirmation, you should promptly contact Jennifer Fortino at (312) 786-8151.

New Foreign Trader Incentive Program Application Form

Trader Name (Trader): _____
Proprietary Trading Firm Name (Firm): _____
Clearing Firm Name: _____ Clearing Firm Number: _____
Trader Account Number(s) with Clearing Firm (Subaccount Field): _____
Trader Order Entry Operator IDs (OEO ID) with Clearing Firm: _____
Date: _____ Location (City, Country) _____

Through the submission of this form (Form), Trader and Firm are applying to CBOE Futures Exchange, LLC (CFE) for Trader to participate in the CFE New Foreign Trader Incentive Program (Program).

Trader and Firm represent to CFE that:

1. Trader is associated with Firm.
2. Trader has not previously traded in any product listed on CFE.
3. Trader has received training from Firm that includes an overview of the products listed on CFE.
4. Firm is located in a foreign jurisdiction approved by CFE pursuant to CFE Rule 305B.
5. Trader's trading on CFE shall be through the facilities of Firm that are located in a foreign jurisdiction approved by CFE pursuant to CFE Rule 305B.

Trader agrees to:

1. submit to CFE any application form(s) and documentation required by CFE in connection with participation in the Program;
2. receive information from CFE and be contacted by CFE representatives by phone, electronic mail, and postal mail;
3. utilize CFE self-trade prevention functionality under CFE Rule 406A; and
4. provide any supplemental documentation requested by CFE to substantiate that transactions qualify for satisfaction of the trading volume threshold and rebates under the Program.

