

## SOUTHERN HEMISPHERE NEW PROPRIETARY TRADING FIRM INCENTIVE PROGRAM APPLICATION FORM

Through the submission of this form (Form), Firm is applying to CBOE Futures Exchange, LLC (CFE) to participate in the CFE Southern Hemisphere New Proprietary Trading Firm Incentive Program (Program).

Trader Name (Trader)		
Proprietary Trading Firm Name (Firm)		
Clearing Firm Name	Clearing Firm Number	
Tuesday Assessment Neversland (a) with Olanding Figure (Code assessment Field)		
Trader Account Number(s) with Clearing Firm (Subaccount Field)		
Trader Order Entry Operator IDs (OEO ID) with Clearing Firm		
te: Location (City, Country)		

## Firm represents to CFE that:

- 1. Any participating traders are associated with Firm.
- 2. Firm has not previously traded in any product listed on CFE.
- 3. Firm is located in a foreign jurisdiction approved by CFE pursuant to CFE Rule 305B.
- 4. Firm became effective as a Trading Privilege Holder on or after April 1, 2017 and had not been an effective Trading Privilege Holder prior to that date.
- 5. Firm must remain effective as a Trading Privilege Holder during the time period that the firm participates in the Program.
- 6. Firm is not affiliated with the Exchange.

## Firm agrees to:

- 1. submit to CFE any application form(s) and documentation required by CFE in connection with participation in the Program;
- 2. receive information from CFE and be contacted by CFE representatives by phone, electronic mail, and postal mail;

- 3. utilize CFE self-trade prevention functionality under CFE Rule 406A; and
- 4. provide any supplemental documentation requested by CFE to substantiate that transactions qualify for satisfaction of the trading volume threshold and rebates under the Program.

## Firm acknowledges that:

- 1. The application of rebates is subject to satisfaction of the trading volume threshold and to the maximum contract volume threshold for rebates under the Program.
- 2. Any account identified for the application of rebates under the Program may not be used by any party other than the proprietary trading firm to execute transactions in CFE products under the Program. Transactions made by any party other than the proprietary trading firm do not qualify for rebates or the satisfaction of the trading volume threshold under the Program in relation to Trader.
- Failure to promptly provide notice of any changes to information provided to CFE for purposes
  of the Program through the submission of an updated Form, including account number changes
  and additions, and supplemental documentation requested by CFE may result in ineligibility for
  the applicable rebates.
- 4. Rebates under the Program are made to the Clearing Firm that was originally assessed the transaction fees for the qualifying transactions through the payment of the rebates to that Clearing Firm.
- 5. Rebates will be made following the end of the applicable calendar month.
- 6. During the time period in which a proprietary trading firm is a participant in the Program, the firm and its Authorized Traders are not eligible for the application of Day Trade fees for Exchange products under the Program, are not eligible to participate in the New European Futures Commission Merchant Incentive Program and are not eligible to participate in the Foreign Proprietary Trading Firm Incentive Program.

Trader and Firm consent to utilization by CFE of the account and OEO ID information provided on this Form to identify the trades on CFE attributable to Trader and to calculate rebates under the Program.

Accepted and Agreed to by		
Trader (Print Name)	Trader (Signature)	
Address:		
Phone Number:	E-mail Address	
Accepted and Agreed to by	Authorized Signatory of Firm (Print Name)	Authorized Signatory of Firm (Signature)
Address:		
Phone Number:	E-mail Address:	