



## SOUTHERN HEMISPHERE NEW PROPRIETARY TRADING FIRM INCENTIVE PROGRAM APPLICATION FORM

Through the submission of this form (Form), Firm is applying to CBOE Futures Exchange, LLC (CFE) to participate in the CFE Southern Hemisphere New Proprietary Trading Firm Incentive Program (Program).

Trader Name (Trader) \_\_\_\_\_

Proprietary Trading Firm Name (Firm) \_\_\_\_\_

Clearing Firm Name \_\_\_\_\_ Clearing Firm Number \_\_\_\_\_

Trader Account Number(s) with Clearing Firm (Subaccount Field) \_\_\_\_\_

Trader Order Entry Operator IDs (OEO ID) with Clearing Firm \_\_\_\_\_

Date: \_\_\_\_\_ Location (City, Country) \_\_\_\_\_

### Firm represents to CFE that:

1. Any participating traders are associated with Firm.
2. Firm has not previously traded in any product listed on CFE.
3. Firm is located in a foreign jurisdiction approved by CFE pursuant to CFE Rule 305B.
4. Firm became effective as a Trading Privilege Holder on or after April 1, 2017 and had not been an effective Trading Privilege Holder prior to that date.
5. Firm must remain effective as a Trading Privilege Holder during the time period that the firm participates in the Program.
6. Firm is not affiliated with the Exchange.

### Firm agrees to:

1. submit to CFE any application form(s) and documentation required by CFE in connection with participation in the Program;
2. receive information from CFE and be contacted by CFE representatives by phone, electronic mail, and postal mail;

